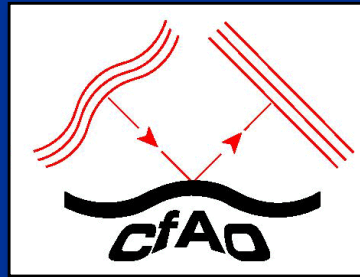


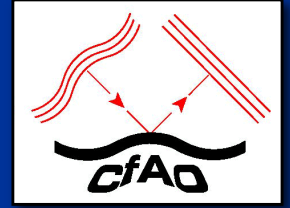
# Error Budgets, and Introduction to Class Projects

## Lecture 7, ASTR 289



Claire Max  
UC Santa Cruz  
January 30, 2020

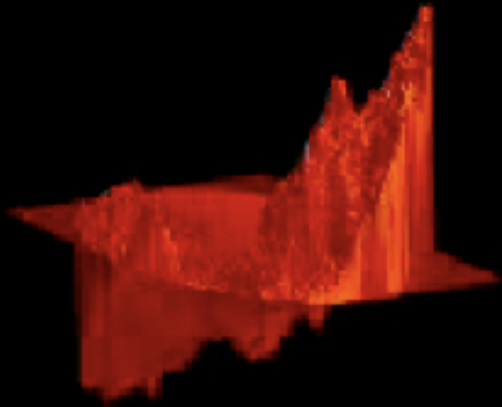
# What is “residual wavefront error”?



Very distorted  
wavefront

Less distorted  
wavefront  
(but still not  
perfect)

Incident wavefront



Shape of Deformable Mirror



Corrected wavefront

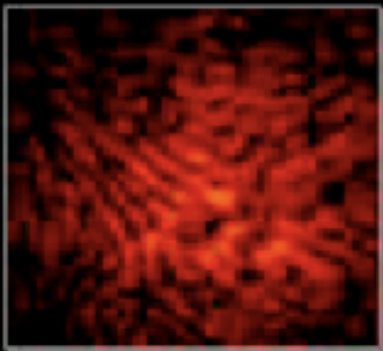
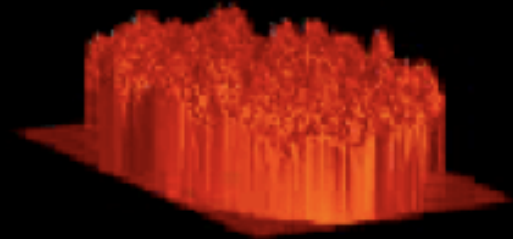


 Image of point source

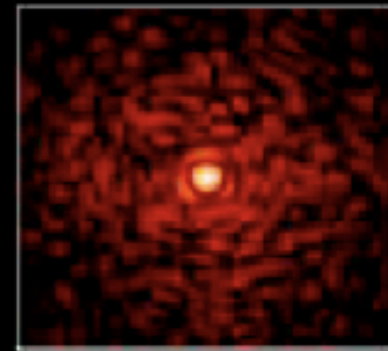
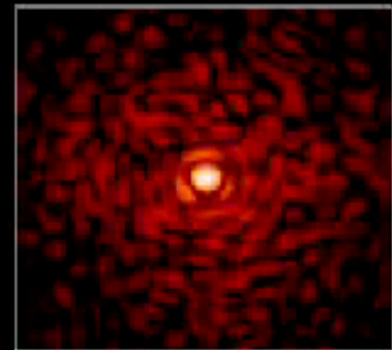
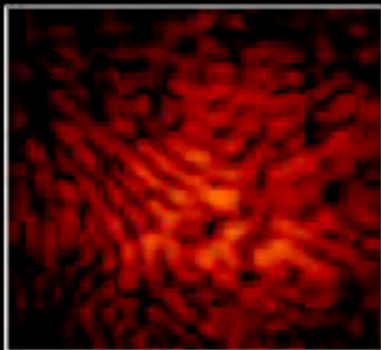
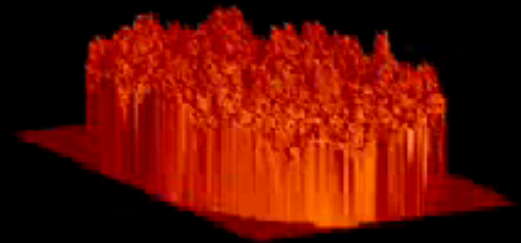
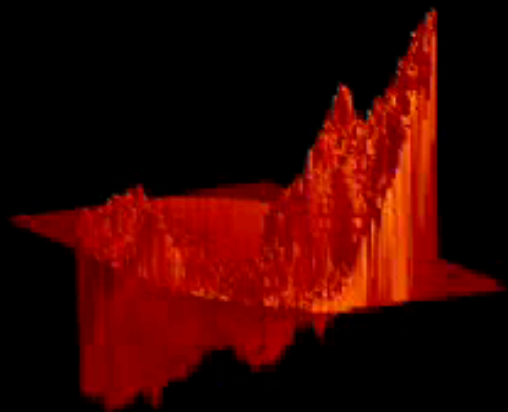
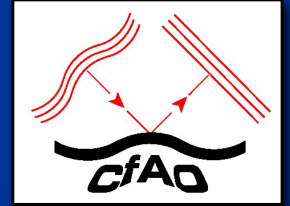


Image of point source

Credit: James Lloyd, Cornell Univ.



# Units of wavefront error

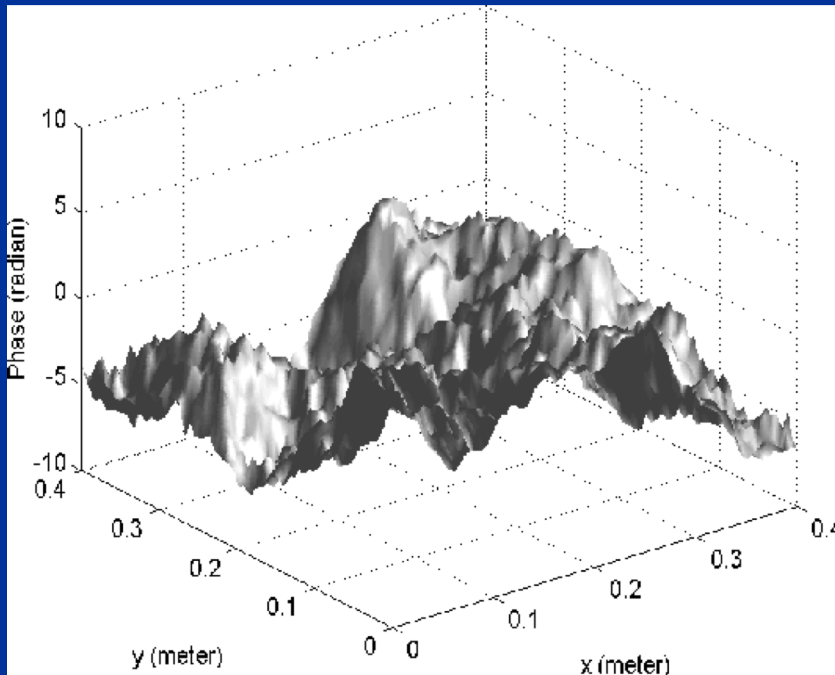
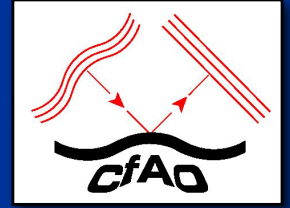


- Electromagnetic wave propagation

$$E = E_0 \exp(i\phi) = E_0 \exp i(kx - \omega t) = E_0 \exp i\left(\frac{2\pi nx}{\lambda} - \omega t\right)$$

- Change in phase due to variation in index of refraction  $n$
- Can express as:
  - Phase  $\Phi \sim k\Delta x = k_0 n \Delta x$  (units: radians)
  - Optical path difference  $\Phi/k = \Delta x$  (units: length)
    - » Frequently microns or nanometers
  - Waves:  $\Delta x / \lambda$  (units: dimensionless)

# How to calculate residual wavefront error

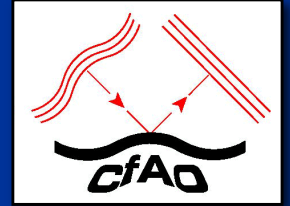


- Optical path difference =  $\Delta z$  where  $k \Delta z$  is the phase change due to turbulence
- Phase variance is  $\sigma^2 = \langle (k \Delta z)^2 \rangle$
- If **several independent effects** cause changes in the phase,

$$\begin{aligned}\sigma_{tot}^2 &= k^2 \left\langle (\Delta z_1 + \Delta z_2 + \Delta z_3 + \dots)^2 \right\rangle \\ &= k^2 \left\langle (\Delta z_1)^2 + (\Delta z_2)^2 + (\Delta z_3)^2 + \dots \right\rangle\end{aligned}$$

- Sum up the contributions from individual physical effects independently

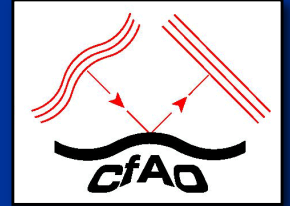
# An error budget can describe wavefront phase or optical path difference



$$\begin{aligned}\sigma_{tot}^2 &= k^2 \left\langle \left( \Delta z_1 + \Delta z_2 + \Delta z_3 + \dots \right)^2 \right\rangle \\ &= k^2 \left\langle \left( \Delta z_1 \right)^2 + \left( \Delta z_2 \right)^2 + \left( \Delta z_3 \right)^2 + \dots \right\rangle\end{aligned}$$

- Be careful of units (Hardy and I will both use a variety of units in error budgets):
  - For tip-tilt residual errors: variance of tilt angle  $\langle \alpha^2 \rangle$
  - Optical path difference  $n\Delta z$  in meters:  $OPD_m$
  - Optical path difference  $n\Delta z$  in waves:  $OPD_\lambda = n\Delta z / \lambda$
  - Optical path difference in radians of phase:  
 $\approx \phi = 2\pi OPD_\lambda = (2\pi/\lambda) OPD_m = k OPD_m$

# Question



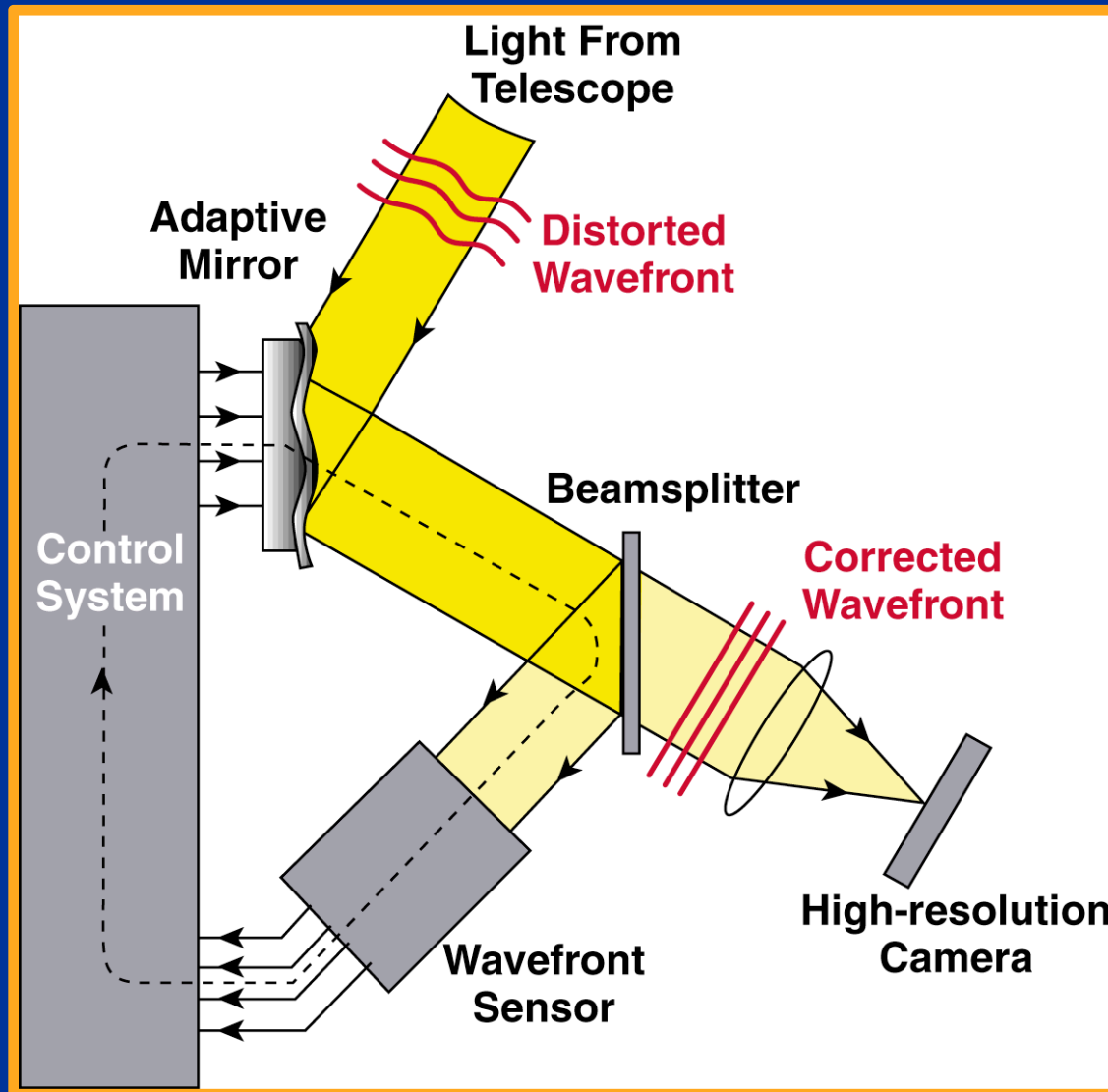
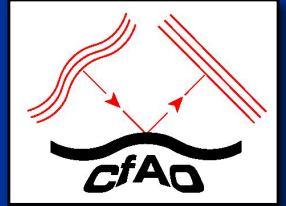
If the total wavefront error is

$$\sigma_{\text{tot}}^2 = \sigma_1^2 + \sigma_2^2 + \sigma_3^2 + \dots$$

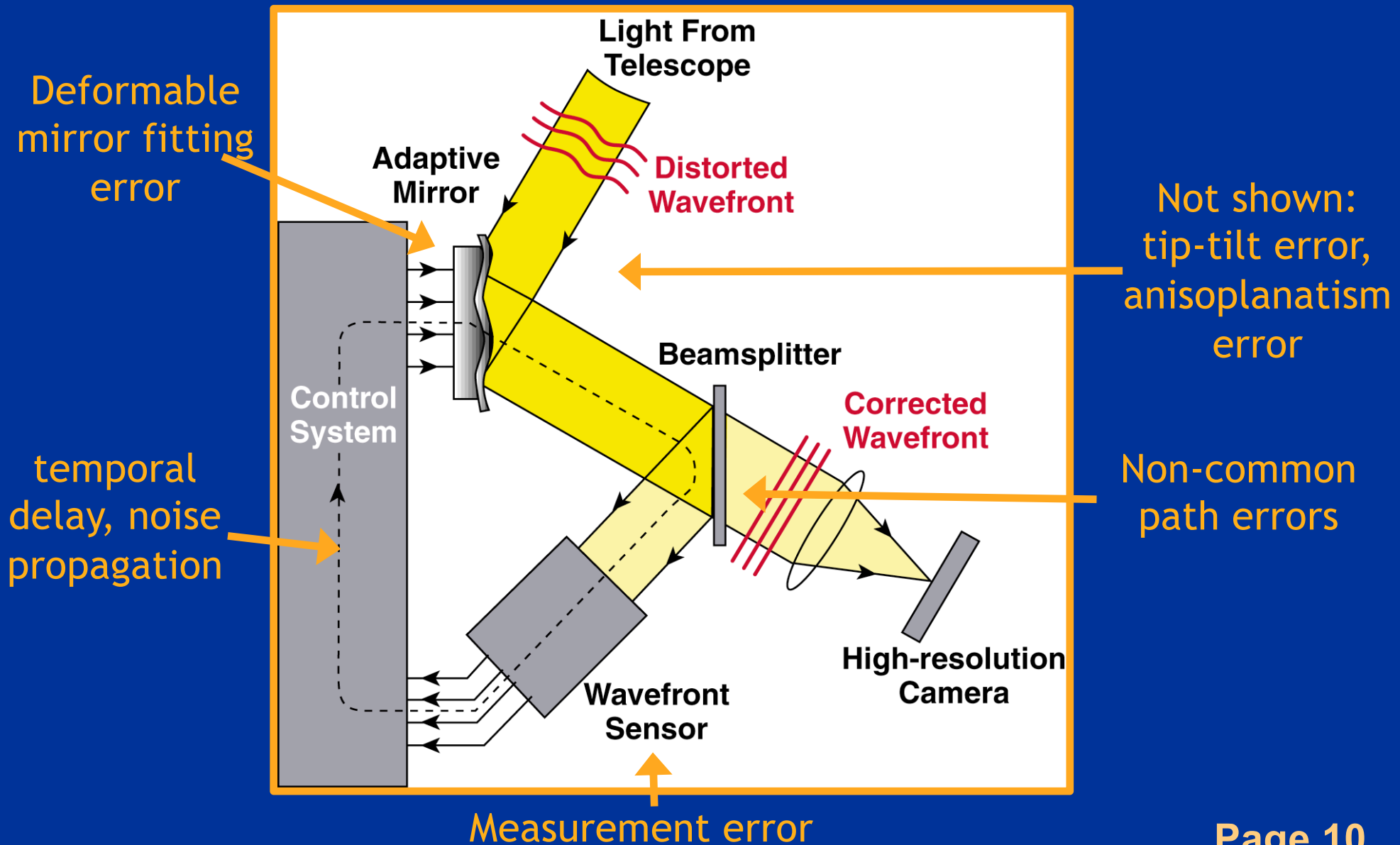
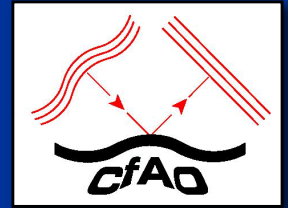
- List as many physical effects as you can think of that might contribute to the overall wavefront error  $\sigma_{\text{tot}}^2$



# Elements of an adaptive optics system



# Elements of an adaptive optics system



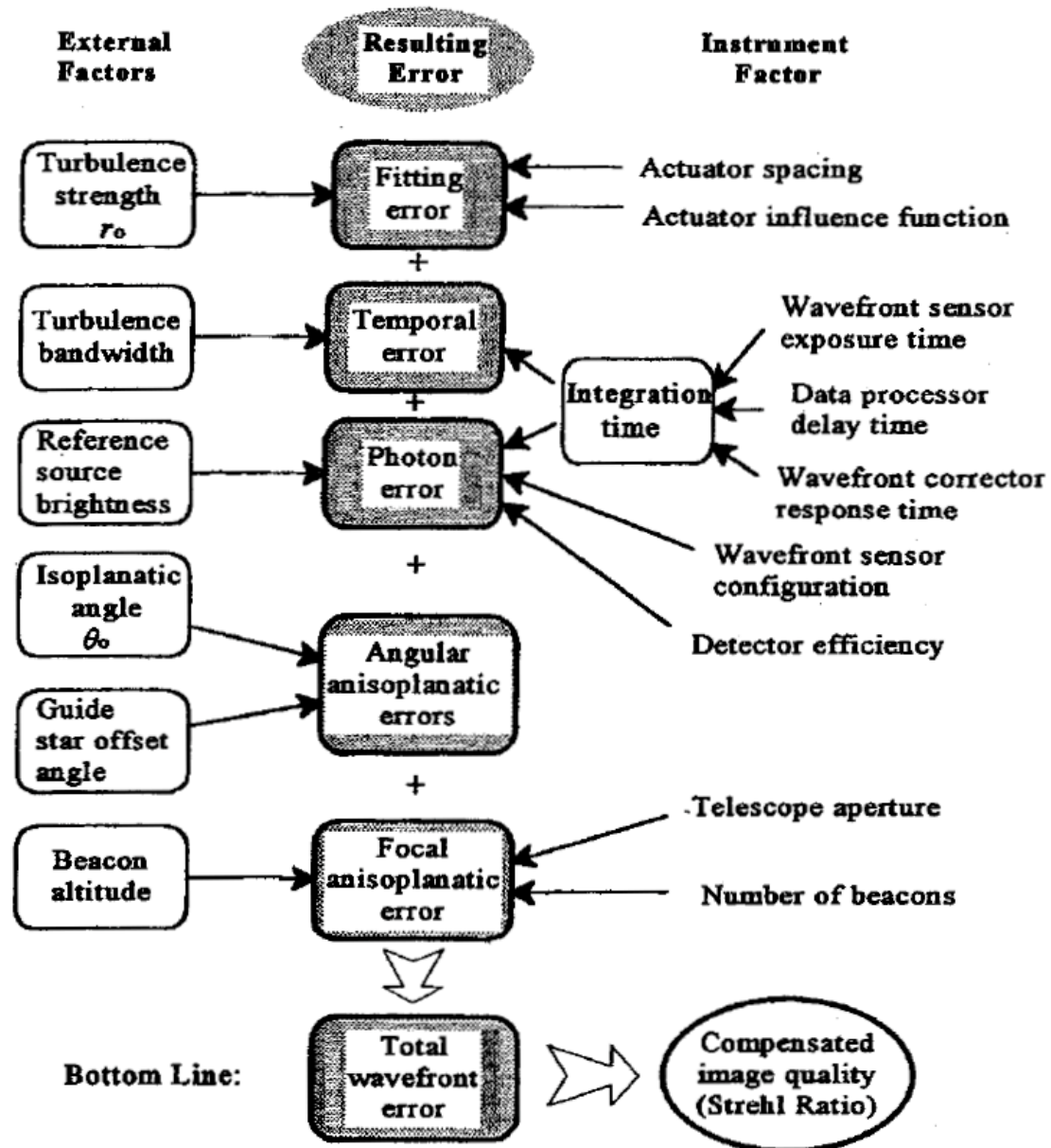
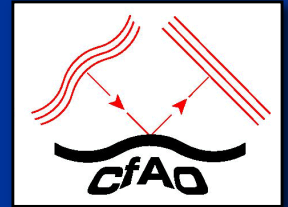
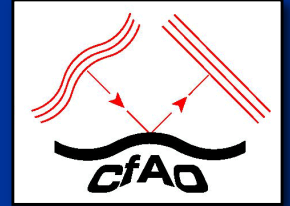


Figure 2.32 Main sources of wavefront error in adaptive optics.

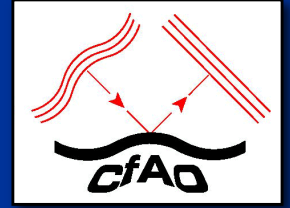
Hardy  
Figure 2.32

# What is an “error budget” ?

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1. The allocation of statistical variations and/or error rates to individual components of a system, in order to satisfy the full system's end-to-end performance specifications.
2. The term “error budget” is a bit misleading: it doesn't mean “error” as in “mistake” - it means performance uncertainties, and/or the imperfect, “real life” performance of each component in the system.
3. In a new project: Start with “top down” performance requirements from the science that will be done. Allocate “errors” to each component to satisfy overall requirements. As design proceeds, replace “allocations” with real performance of each part of system. Iterate.



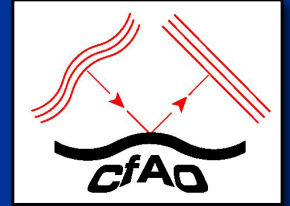
## Wavefront errors due to time lags, $\tau_0$

- Wavefront phase variance due to  $\tau_0$ 
  - If an AO system corrects turbulence “perfectly” but with a phase lag characterized by a time  $\tau$ , then

$$\sigma_\tau^2 = 28.4 \left( \tau / \tau_0 \right)^{5/3} \quad \text{Hardy Eqn 9.57}$$

- The factor of 28.4 out front is a significant penalty: have to run AO system a lot faster than  $\tau = \tau_0$
- For  $\sigma_\tau^2 < 1$ ,  $\tau < 0.13 \tau_0$
- In addition, closed-loop bandwidth is usually  $\sim 10x$  sampling frequency  $\Rightarrow$  have to run even faster

# Wavefront variance due to isoplanatic angle $\theta_0$



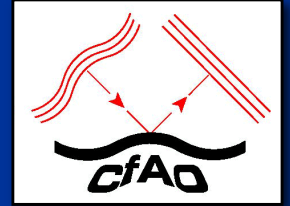
- If an AO system corrects turbulence “perfectly” but uses a guide star at an angle  $\theta$  away from the science target,

$$\sigma_{angle}^2 = \left( \frac{\theta}{\theta_0} \right)^{5/3}$$

Hardy Eqn 3.104

- Typical values of  $\theta_0$  are a few arc sec at  $\lambda = 0.5 \mu\text{m}$ ,  
15-20 arc sec at  $\lambda = 2 \mu\text{m}$

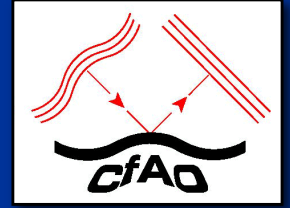
# Deformable mirror fitting error



- Accuracy with which a deformable mirror with subaperture diameter  $d$  can remove wavefront aberrations
- With a finite number of actuators, you can't do a perfect fit to an arbitrary wavefront

$$\sigma_{Fitting}^2 = \mu \left( \frac{d}{r_0} \right)^{5/3}$$

- Constant  $\mu$  depends on specific design of deformable mirror
- For segmented mirror that corrects tip, tilt, and piston (3 degrees of freedom per segment)  $\mu = 0.14$
- For deformable mirror with continuous face-sheet,  $\mu = 0.28$



## Error budget concept (sum of $\sigma^2$ 's)

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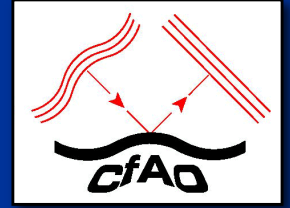
$$\sigma_{tot}^2 = \sigma_1^2 + \sigma_2^2 + \sigma_3^2 + \dots \text{ radians}^2$$

- There's not much to be gained by making any particular term much smaller than all the others: try to roughly equalize all the terms
- Individual terms we know so far:
  - Anisoplanatism  $\sigma_{angle}^2 = (\theta/\theta_0)^{5/3}$
  - Temporal error  $\sigma_{\tau}^2 = 28.4 (\tau/\tau_0)^{5/3}$
  - Fitting error  $\sigma_{Fitting}^2 = \mu (d/r_0)^{5/3}$



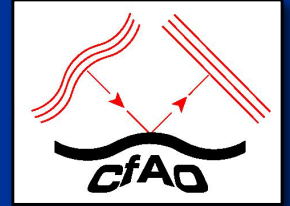
# *We will discuss other wavefront error terms in coming lectures*

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- **Measurement error**
  - Wavefront sensor doesn't make perfect measurements
  - Finite signal to noise ratio, optical limitations, ...
- **Non-common-path errors**
  - Calibration of different optical paths between science instrument and wavefront sensor isn't perfect
- **Calibration errors**
  - What deformable mirror shape would correspond to a perfectly flat wavefront?
- **Tip-Tilt errors**
  - Need to rephrase these in terms of wavefront error rather than angle of arrival variance

# Error budget so far



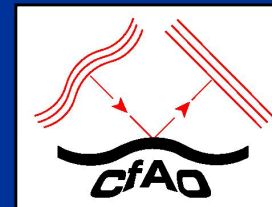
$$\sigma_{tot}^2 = \sigma_{fitting}^2 + \sigma_{anisopl}^2 + \sigma_{temporal}^2 + \sigma_{meas}^2 + \sigma_{calib}^2 + \sigma_{tip-tilt}^2 + \dots$$



Still need to work  
these out

Try to “balance” error terms: if one is big,  
no point struggling to make the others tiny

# Keck AO error budget example (not current)



Error Term (nm)	Predicted	Measured
DM: Atmospheric fitting error	110	139
DM: Telescope fitting error	66	60
Calibration (non-common path)	114	113
Finite Bandwidth (high order)	115	103
WFS measurement error*	0	0
TT bandwidth	91	75
TT measurement	5	9
Miscellaneous	106	120
Total wavefront error	249	258
K-band Strehl	0.60	0.58

\* Very bright star

## Assumptions:

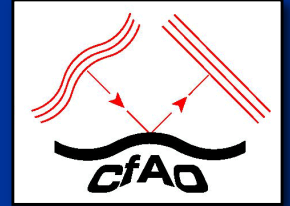
Natural guide star is very bright (no measurement error)

10 degree zenith angle

Wavefront sensor bandwidth: 670 Hz

Note that uncorrectable errors in telescope itself are significant

# We want to relate phase variance $\langle \sigma^2 \rangle$ to the “Strehl ratio”



- Two definitions of Strehl ratio (equivalent):
  1. Ratio of the maximum intensity of a point spread function to what the maximum would be without any aberrations:

$$S \equiv \left( I_{\max} / I_{\max\_no\_aberrations} \right)$$

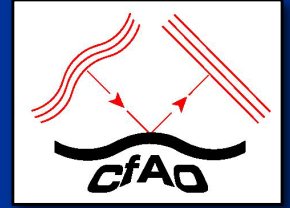
2. The “normalized volume” under the optical transfer function of an aberrated optical system

$$S \equiv \frac{\int OTF_{aberrated}(f_x, f_y) df_x df_y}{\int OTF_{un-aberrated}(f_x, f_y) df_x df_y}$$

where  $OTF(f_x, f_y) = \text{Fourier Transform}(PSF)$

# Relation between phase variance and Strehl ratio

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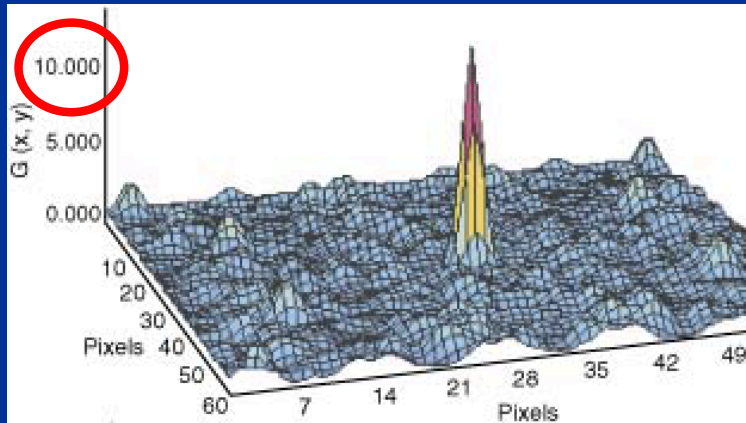
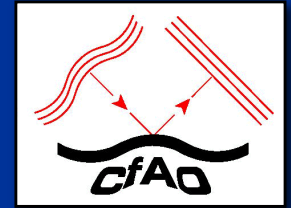
- “Maréchal Approximation”

$$\text{Strehl} \cong \exp\left(-\sigma_{\phi}^2\right)$$

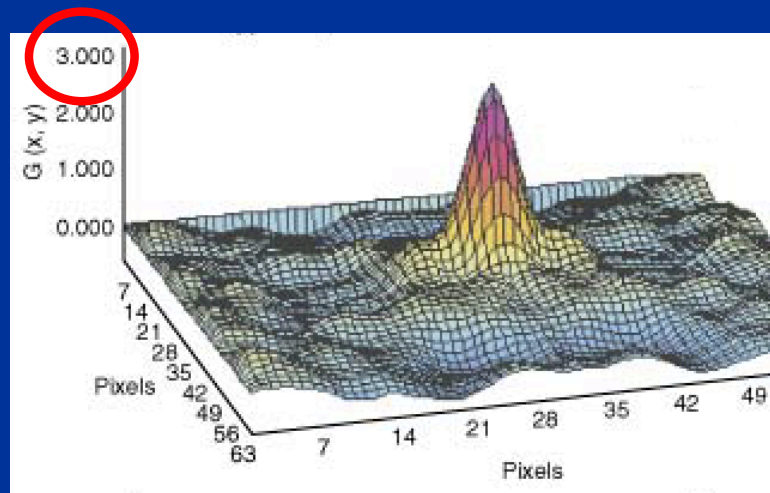
where  $\sigma_{\phi}^2$  is the total wavefront variance

- Valid when Strehl > 10% or so
- Under-estimates the Strehl for low-Strehl situations (larger values of  $\sigma_{\phi}^2$  )

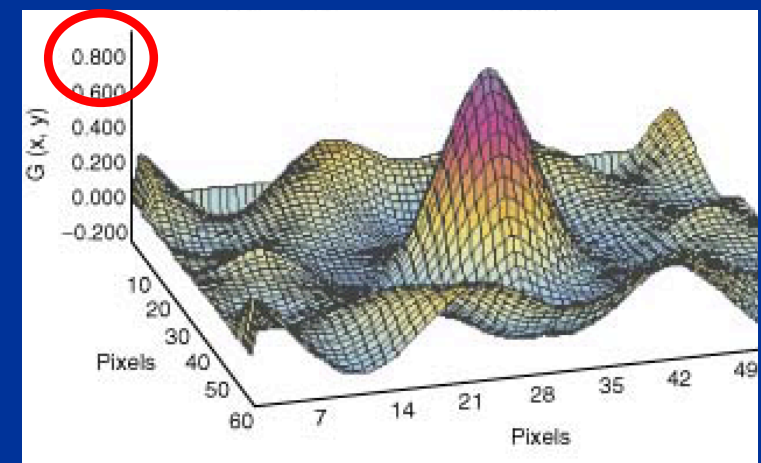
*High Strehl  $\Rightarrow$  PSF with higher peak intensity and narrower "core"*



High  
Strehl



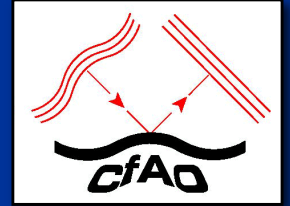
Medium  
Strehl



Low  
Strehl

# Summary of topics discussed today

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- Wavefront errors due to:
  - Timescale of turbulence
  - Isoplanatic angle
  - Deformable mirror fitting error
  - Other effects
- Concept of an “error budget”
- Goal: to calculate  $\langle \sigma_\phi^2 \rangle$  and thus the Strehl ratio

$$\text{Strehl} \cong \exp\left(-\sigma_\phi^2\right)$$